

#3 Sector #
Attorney's Docket No: B0801/7170

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kupper et al.
Serial No : 09/540,963
Filed : March 31, 2000
For : MODIFIED DENDRITIC CELLS AND USES THEREFOR
Examiner : Unknown
Art Unit : Unknown



CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on the 1st day of December, 2000.

Monica E. Zombori

Box MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith are the following documents:

- ☒ Preliminary Amendment
- ☒ Petition for Four Month Extension of Time
- ☒ Declarations for Patent Application (3)
- ☒ Copy of Notice to File Missing Parts
- ☒ Fee Calculation Sheet
- ☒ Check in the amount of \$1115.00
- ☒ Return Receipt Postcard

Small entity status is claimed.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

If the amount of the enclosed check is considered insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By:
John R. Van Amsterdam, Reg No. 40,212
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Telephone (617) 720-3500

Docket No. B0801/7170
Dated: December 1, 2000
x12/02/00

469620.1



Attorney Docket No. B0801/7170(JRV)

Inventor(s): Kupper et al.

Serial No: 09/540,963

Filed: March 31, 2000

For: MODIFIED DENDRITIC CELLS AND USES THEREFOR

CHECK BOX, if applicable:

☐ DUPLICATE

Fee Calculation Sheet

| CLAIMS | FOR | NUMBER FILED | NUMBER EXTRA | RATE | FEE |
|--------|--|--------------|--------------|----------------------------------|-------------|
| | TOTAL CLAIMS (37 CFR 1.16(c)) | 18-20= | 0x | \$ 18 | = \$ 0.00 |
| | INDEPENDENT CLAIMS (37 CFR 1.16(b)) | 8-3= | 5 x | \$ 80 | = \$ 400.00 |
| | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) + | | | \$ | = \$ |
| | | | | BASIC FEE (37 CFR 1.16(a)) | \$ 710.00 |
| | Fee for Petition for Extension of Time (if any) | | | | \$ 1390.00 |
| | Total of above Calculations = | | | | \$ 2100.00 |
| | Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28). | | | | \$ -1050.00 |
| | Assignment Recordation Fee (if any) | | | | \$ |
| | Other Fees (if any). | | | | \$ 65.00 |
| | TOTAL = | | | | \$ 1,115.00 |

1. A check in the amount of \$1,115.00 is enclosed.

General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 ☒ 1.17 or ☒ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

John R. Van Amsterdam, Reg. No. 40,212
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600 Atlantic Avenue
Boston, MA 02210-2211
(617) 720-3500
Attorneys of Record

Docket No. B0801/7170(JRV)
Date: December 1, 2000

#3

FORMALITIES LETTER



OC00000005154794



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/540,963 | 03/31/2000 | Thomas S. Kupper | B0801/777170 (JRV) |

Wolf Greenfield & Sacks P C
600 Atlantic Avenue
Boston, MA 02210



Date Mailed: 06/02/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2414.
 - \$1764 for 98 total claims over 20.
 - \$390 for 5 independent claims over 3 .
 - \$260 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 3234.**

*A copy of this notice **MUST** be returned with the reply.*

DMartin T-4/
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/07/2000 MARSHOL 00000005 09540953

02 FC:201 355.00 OP
03 FC:205 65.00 OP